

Upload the completed form through the Secure Message Center, Attn: IRA Services. Or mail the completed form: by mail to Interactive Brokers LLC • Attn: IRA Services • 209 South LaSalle Street, 10th Floor • Chicago, IL 60604.

IB Account #:	IRA Type:	Contribution Amount:

Certification • Please select the appropriate choice. Certify your eligibility for the IRA rollover transaction and understanding of the rollover rules and conditions.

- A. Traditional IRA or SIMPLE IRA to Traditional IRA Rollover**
1. It has been less than 60 days since I received the funds or property being deposited.
 2. I did not receive any other distributions from the distributing IRA during the preceding 12 months which I also rolled over.
 3. The assets involved in this rollover have not been rolled over in the past 12 months.
 4. If over 70 1/2, I have satisfied my required minimum distribution for the year.
 5. SIMPLE IRA only – it has been at least (2) years since I first began participating in a SIMPLE IRA plan sponsored by my employer.
- B. Roth IRA to Roth IRA Rollover**
1. It has been less than 60 days since the funds or property being deposited.
 2. I did not receive any other distributions from the distributing IRA during the preceding 12 months which you also rolled over.
 3. The assets involved in this rollover have not been rolled over in the past 12 months.
- C. Rollover or Direct Rollover from Qualified Plan into a Traditional IRA**
1. I am an eligible participant (participant, spouse beneficiary, or former spouse due to divorce).
 2. It has been less than 60 days since I received the funds or property being deposited.
 3. I received these funds from an eligible qualified retirement plan.
 4. If over 70 1/2, I have satisfied my required minimum distribution for the year.
 5. The rollover consists only of funds or property distributed from the qualified plan, or #6.
 6. The proceeds from the sale of property distributed from the qualified plan, or #5.
 7. All of the funds included are eligible to be rolled over
- D. Traditional IRA to Roth IRA Rollover (indirect conversion)**
1. It has been less than 60 days since I received the funds or property being deposited.
 2. If over 70 1/2, I have satisfied my required minimum distribution for the year.

Signature and Date • Please read.

I am familiar with and understand the rollover rules and conditions. I certify that I met the requirements for making a rollover contribution. Due to the important tax consequences of rolling over funds or property to an IRA, I understand that the IBG LLC cannot, and has not provided tax advice. I release, indemnify and hold IBG LLC harmless against any liabilities I may incur as a result of this transaction. By signing this agreement, I understand that I have irrevocably designated this contribution as a rollover contribution.

Signature • Please sign and date.

Print Name:	Date:
IRA Owner Signature:	

Retain a copy of this for your records.

IRS Circular 230 Notice: These statements are provided for information purposes only, are not intended to constitute tax advice which may be relied upon to avoid penalties under any federal, state, local or other tax statutes or regulations, and do not resolve any tax issues in your favor.