

**WINJAMMER FILING**

**INITIAL**

**End Date:10/5/2022**

**Firm Name:INTERACTIVE BROKERS LLC**

**Form:Daily Seg - FOCUS II**

**Submit Date:10/6/2022**

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**Daily Segregation - Cover Page**

Name of Company

**INTERACTIVE BROKERS LLC**

Contact Name

**James Menicucci**

Contact Phone Number

**203-618-8085**

Contact Email Address

**jmenicucci@interactivebrokers.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**0**  
**0**  
**155,000,000 245,000,000**  
**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**0**  
**0**  
**80,000,000 120,000,000**  
**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**0**  
**0**  
**0 0**  
**0 0**

Attach supporting documents CH

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

|    |   |                                     |
|----|---|-------------------------------------|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                     |
|    | A. Cash   | <u>674,628,493</u> [7315]           |
|    | B. Securities (at market)   | <u>0</u> [7317]                     |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>-5,265,653</u> [7325]            |
| 3. | Exchange traded options   |                                     |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>410,064</u> [7335]               |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>-7,359</u> [7337]                |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>669,765,545</u> [7345]           |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>4,053</u> [7351]                 |
|    | Less: amount offset by customer owned securities  | <u>0</u> [7352] <u>4,053</u> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>669,769,598</u> [7355]           |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>669,769,598</u> [7360]           |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

|     |   |  |
|-----|---|--|
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>91,750,283</u> [7500]                       |
|     | B. Other banks qualified under Regulation 30.7  | <u>0</u> [7520] <u>91,750,283</u> [7530]       |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <u>536,394,836</u> [7540]                      |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | <u>0</u> [7560] <u>536,394,836</u> [7570]      |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | <u>0</u> [7580]                                |
|     | B. Securities   | <u>0</u> [7590]                                |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>0</u> [7600]                                |
|     | D. Value of long option contracts   | <u>0</u> [7610]                                |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>0</u> [7620]                |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |  |
|     | A. Cash   | <u>0</u> [7640]                                |
|     | B. Securities   | <u>0</u> [7650]                                |
|     | C. Amount due to (from) clearing organization - daily variation   | <u>0</u> [7660]                                |
|     | D. Value of long option contracts   | <u>0</u> [7670]                                |
|     | E. Value of short option contracts  | <u>0</u> [7675] <u>0</u> [7680]                |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <u>271,861,666</u> [7700]                      |
|     | B. Securities   | <u>0</u> [7710]                                |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-21,043,948</u> [7720]                      |
|     | D. Value of long option contracts   | <u>410,064</u> [7730]                          |
|     | E. Value of short option contracts  | <u>-7,359</u> [7735] <u>251,220,423</u> [7740] |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                                |
| 7.  | Segregated funds on hand  | <u>0</u> [7765]                                |
| 8.  | Total funds in separate section 30.7 accounts   | <u>879,365,542</u> [7770]                      |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>209,595,944</u> [7380]                      |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <u>80,000,000</u> [7780]                       |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <u>129,595,944</u> [7785]                      |

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |   |
|-----|---|---|
| 1.  | Net ledger balance  |   |
|     | A. Cash   | <b><u>8,344,080,341</u></b> [7010]                  |
|     | B. Securities (at market)   | <b><u>0</u></b> [7020]                              |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>77,524,016</u></b> [7030]                     |
| 3.  | Exchange traded options   |   |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>475,876,115</u></b> [7032]                    |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-574,079,167</u></b> [7033]                   |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <b><u>8,323,401,305</u></b> [7040]                  |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>903,635</u></b> [7045]                        |
|     | Less: amount offset by customer securities  | <b><u>0</u></b> [7047] <b><u>903,635</u></b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <b><u>8,324,304,940</u></b> [7060]                  |
|     | FUNDS IN SEGREGATED ACCOUNTS  |   |
| 7.  | Deposited in segregated funds bank accounts   |   |
|     | A. Cash   | <b><u>2,185,665,501</u></b> [7070]                  |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>3,952,611,754</u></b> [7080]                  |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7090]                              |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |   |
|     | A. Cash   | <b><u>1,868,819,512</u></b> [7100]                  |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>818,942,455</u></b> [7110]                    |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7120]                              |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>-121,565,405</u></b> [7130]                   |
| 10. | Exchange traded options   |   |
|     | A. Value of open long option contracts  | <b><u>475,876,115</u></b> [7132]                    |
|     | B. Value of open short option contracts   | <b><u>-574,079,167</u></b> [7133]                   |
| 11. | Net equities with other FCMs  |   |
|     | A. Net liquidating equity   | <b><u>0</u></b> [7140]                              |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                              |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7170]                              |
| 12. | Segregated funds on hand  | <b><u>0</u></b> [7150]                              |
| 13. | Total amount in segregation (add lines 7 through 12)  | <b><u>8,606,270,765</u></b> [7180]                  |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>281,965,825</u></b> [7190]                    |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>155,000,000</u></b> [7194]                    |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>126,965,825</u></b> [7198]                    |
|     | Excess  |   |

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

| Cleared Swaps Customer Requirements |   |
|-------------------------------------|---|
| 1.                                  | Net ledger balance  |
|                                     | A. Cash <u>0</u> [8500]   |
|                                     | B. Securities (at market) <u>0</u> [8510]   |
| 2.                                  | Net unrealized profit (loss) in open cleared swaps <u>0</u> [8520]  |
| 3.                                  | Cleared swaps options   |
|                                     | A. Market value of open cleared swaps option contracts purchased <u>0</u> [8530]                                |
|                                     | B. Market value of open cleared swaps option contracts granted (sold) <u>0</u> [8540]                           |
| 4.                                  | Net Equity (deficit) (add lines 1, 2, and 3) <u>0</u> [8550]  |
| 5.                                  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <u>0</u> [8560]               |
|                                     | Less: amount offset by customer owned securities <u>0</u> [8570] <u>0</u> [8580]                                |
| 6.                                  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <u>0</u> [8590]                |
|                                     | Funds in Cleared Swaps Customer Segregated Accounts   |
| 7.                                  | Deposited in cleared swaps customer segregated accounts at banks  |
|                                     | A. Cash <u>0</u> [8600]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8610]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8620]           |
| 8.                                  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts        |
|                                     | A. Cash <u>0</u> [8630]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8640]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8650]           |
| 9.                                  | Net settlement from (to) derivatives clearing organizations <u>0</u> [8660]                                     |
| 10.                                 | Cleared swaps options   |
|                                     | A. Value of open cleared swaps long option contracts <u>0</u> [8670]  |
|                                     | B. Value of open cleared swaps short option contracts <u>0</u> [8680]   |
| 11.                                 | Net equities with other FCMs  |
|                                     | A. Net liquidating equity <u>0</u> [8690]   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8700]            |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8710]           |
| 12.                                 | Cleared swaps customer funds on hand  |
|                                     | A. Cash <u>0</u>  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u>                   |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8715]           |
| 13.                                 | Total amount in cleared swaps customer segregation (add lines 7 through 12) <u>0</u> [8720]                     |
| 14.                                 | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <u>0</u> [8730]  |
| 15.                                 | Management Target Amount for Excess funds in cleared swaps segregated accounts <u>0</u> [8760]                  |
| 16.                                 | Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <u>0</u> [8770] |