



# U.S. Tax Information Reporting 2020

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**Interactive Brokers**

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## Information reporting from:

- Employers (W-2, 1095C, 1099NEC)
- Educational institutions (1098T)
- Banks and lenders (1099INT, 1099R, 1098 Mortgage interest)
- Brokers and other financial institutions
  - 1099R
  - 1099NEC
  - 1099INT
  - 1099OID
  - 1099DIV
  - 1099MISC
  - 1099B
  - 1099C



## 1099R:

- Distributions from Pensions, Annuities, Retirement or Profit-Sharing plans, IRAs, Insurance Contracts, etc.

## 1099C:

- Cancellation of Debt.

☐ CORRECTED (if checked)

PAYER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code  <b>Interactive Brokers LLC Two Pickwick Plaza Greenwich, CT 06830</b>			<b>1</b> Gross distribution \$ 100,000.00 <b>2a</b> Taxable amount \$ 100,000.00		OMB No. 1545-0119 <b>2020</b> Form 1099-R		<b>Distributions From Pensions, Annuities, Retirement or Profit- Sharing Plans, IRAs, Insurance Contracts, etc.</b>		
PAYER'S federal identification number XXXXXXXXXXXX			RECIPIENT'S identification number XXXXXXXXXXXX			<b>2b</b> Taxable amount not determined <input checked="" type="checkbox"/>		<b>Total distribution</b> <input type="checkbox"/>	
RECIPIENT'S name XXXXXX XXXXX Street address (including apt. no.) X XXXXX XXX XXX XXX City or town, state or province, country, and ZIP or foreign postal code XXXXXX XXXXX XXX XXXXXX XXXXXX XXXXX			<b>3</b> Capital gain (included in box 2a) \$		<b>4</b> Federal income tax withheld \$		<b>Copy B</b> Report this income on your federal tax return. If this form shows federal income tax withheld in box 4, attach this copy to your return. This information is being furnished to the Internal Revenue Service.		
			<b>5</b> Employee contributions /Designated Roth contributions or insurance premiums \$		<b>6</b> Net unrealized appreciation in employer's securities \$				
			<b>7</b> Distribution code(s) 1		<b>IRA/SEP/SIMPLE</b> <input checked="" type="checkbox"/>				
			<b>8</b> Other %		<b>9a</b> Your percentage of total distribution <b>9b</b> Total employee contributions \$				
<b>10</b> Amount allocable to IRR within 5 years \$		<b>11</b> 1st year of desig. Roth contrib.	<b>12</b> FATCA filing requirement <input type="checkbox"/>	<b>14</b> State tax withheld \$		<b>15</b> State/Payer's state no.		<b>16</b> State distribution \$	
Account number (see instructions) XXXXXXXX			<b>13</b> Date of Payment	<b>17</b> Local tax withheld \$		<b>18</b> Name of locality		<b>19</b> Local distribution \$	

Form 1099-R

www.irs.gov/form1099r

Department of the Treasury - Internal Revenue Service

☐ CORRECTED (if checked)

# Consolidated Form 1099



## 2020 Tax Reporting Statement

### Consolidated Forms 1099

Recipient Information	
Name	XXXXX XXXXXXXX
Address	XXXXX XX XXXX XXX XXXXXXXX XX XXXXXX
Tax ID Number	XXXXXXXXXXXX
FATCA Filing Requirement	No
IB Account ID	XXXXXXXXXX

Payer Information	
Name	Interactive Brokers LLC
Address	Two Pickwick Plaza Greenwich, CT 06830
Phone Number	1 (877) 442-2757
Website	<a href="http://www.interactivebrokers.com/help">http://www.interactivebrokers.com/help</a>
Federal ID Number	13-3863700

2020 1099-INT Interest Income		OMB No. 1545-0112
Box	Description	Amount
1	Interest income	0.00
2	Early withdrawal penalty	0.00
3	Interest on U.S. savings bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Tax-exempt interest	0.00
9	Specified private activity bond interest	0.00
10	Market discount	0.00
11	Bond premium	0.00
12	Bond premium on Treasury obligations	0.00
13	Bond premium on tax-exempt bond	0.00

2020 1099-DIV Dividends and Distributions		OMB No. 1545-0110
Box	Description	Amount
1a	Total ordinary dividends	0.00
1b	Qualified dividends	0.00
2a	Total capital gain distributions	0.00
2b	Unrecap. Sec. 1250 gain	0.00
3	Non-dividend distributions	0.00
4	Federal income tax withheld	0.00
5	Section 199A Dividends	0.00
6	Investment expenses	0.00
7	Foreign tax paid	0.00
8	Foreign country or U.S. possession	
9	Cash liquidation distributions	0.00
10	Non-cash liquidation distributions	0.00
11	Exempt-interest dividends	0.00
12	Specified private activity bond interest dividends	0.00

2020 1099-OID Original Issue Discount		OMB No. 1545-0117
Box	Description	Amount
1	Original issue discount for 2020	0.00
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Market discount	0.00
6	Acquisition premium	0.00
8	Original issue discount on U.S. Treasury obligations	0.00
9	Investment expense	0.00
10	Bond premium	0.00
11	Tax-exempt OID	0.00

2020 1099-MISC Miscellaneous Income		OMB No. 1545-0115
Box	Description	Amount
1	Rents	0.00
2	Royalties	0.00
3	Other income	0.00
4	Federal income tax withheld	0.00
8	Substitute payments in lieu of dividends or interest	0.00

2020 1099-NEC Nonemployee Compensation		OMB No. 1545-0116
Box	Description	Amount
1	Non-employee compensation	145.87
4	Federal income tax withheld	0.00

**IMPORTANT TAX INFORMATION:** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please consult the "Instructions for Recipients" as some amounts require review before reporting on your income tax return.





2020 1099-NEC

Nonemployee Compensation

OMB No: 1545-0116

Box	Description	Amount
1	Non-employee compensation	145.87
4	Federal income tax withheld	0.00



2020 1099-INT		Interest Income	OMB No. 1545-0112
Box	Description	Amount	
1	Interest income	0.00	
2	Early withdrawal penalty	0.00	
3	Interest on U.S. savings bonds and Treasury obligations	0.00	
4	Federal income tax withheld	0.00	
5	Investment expenses	0.00	
6	Foreign tax paid	0.00	
7	Foreign country or U.S. possession		
8	Tax-exempt interest	0.00	
9	Specified private activity bond interest	0.00	
10	Market discount	0.00	
11	Bond premium	0.00	
12	Bond premium on Treasury obligations	0.00	
13	Bond premium on tax-exempt bond	0.00	





2020 1099-OID

Original Issue Discount

OMB No: 1545-0117

Box	Description	Amount
1	Original issue discount for 2020	0.00
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Market discount	0.00
6	Acquisition premium	0.00
8	Original issue discount on U.S. Treasury obligations	0.00
9	Investment expense	0.00
10	Bond premium	0.00
11	Tax-exempt OID	0.00



2020 1099-DIV		Dividends and Distributions	OMB No: 1545-0110
Box	Description	Amount	
1a	Total ordinary dividends	0.00	
1b	Qualified dividends	0.00	
2a	Total capital gain distributions	0.00	
2b	Unrecap. Sec. 1250 gain	0.00	
3	Non-dividend distributions	0.00	
4	Federal income tax withheld	0.00	
5	Section 199A Dividends	0.00	
6	Investment expenses	0.00	
7	Foreign tax paid	0.00	
8	Foreign country or U.S. possession		
9	Cash liquidation distributions	0.00	
10	Non-cash liquidation distributions	0.00	
11	Exempt-interest dividends	0.00	
12	Specified private activity bond interest dividends	0.00	



2020 1099-MISC

Miscellaneous Income

OMB No: 1545-0115

Box	Description	Amount
1	Rents	0.00
2	Royalties	0.00
3	Other income	0.00
4	Federal income tax withheld	0.00
8	Substitute payments in lieu of dividends or interest	0.00

# Consolidated Forms 1099

2020 Tax Reporting Statement

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## Consolidated Forms 1099 (continued)

### Covered Securities: Short-Term - Summary

2020 1099-B	Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount
	Applicable check box on Form 8949	A
1a	Description of property	
1b	Date acquired	
1c	Date sold or disposed	
1d	Proceeds (gross proceeds less commissions & options premium)	0.00
1e	Cost or other basis	0.00
1f	Accrued market discount	0.00
1g	Wash sale loss disallowed	0.00
2	Type of gain or loss	Short-term
3	Check if Proceeds from Collectibles or QOF	See details
4	Federal income tax withheld	0.00
5	Noncovered security	No
12	Basis reported to IRS	Yes

### Covered Securities: Long-Term - Summary

2020 1099-B	Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount
	Applicable check box on Form 8949	D
1a	Description of property	
1b	Date acquired	
1c	Date sold or disposed	
1d	Proceeds (gross proceeds less commissions & options premium)	0.00
1e	Cost or other basis	0.00
1f	Accrued market discount	0.00
1g	Wash sale loss disallowed	0.00
2	Type of gain or loss	Long-term
3	Check if Proceeds from Collectibles or QOF	See details
4	Federal income tax withheld	0.00
5	Noncovered security	No
12	Basis reported to IRS	Yes

### Noncovered Securities - Summary

2020 1099-B	Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount
	Applicable check box on Form 8949	X
1a	Description of property	
1c	Date sold or disposed	
1d	Proceeds (gross proceeds less commissions & options premium)	0.00
2	Type of gain or loss	Not determined
3	Check if Proceeds from Collectibles or QOF	See details
4	Federal income tax withheld	0.00
5	Noncovered security	Yes
12	Basis reported to IRS	No

### Regulated Future Contracts and Options (Section 1256 Transactions)

2020 1099-B	Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount
8	Profit or (loss) realized in 2020 on closed contracts	0.00
9	Unrealized profit or (loss) on open contracts - 12/31/2019	0.00
10	Unrealized profit or (loss) on open contracts - 12/31/2020	0.00
11	Aggregate profit or (loss) on contracts	0.00

# Consolidated Forms 1099 - Amended



## 2020 Tax Reporting Statement

### Consolidated Forms 1099 - Amended

Recipient Information	
Name	XXXXX XXXXXXXX
Address	XXXXXX XX XXXX XXX XXXXXXXX XX XXXXX
Tax ID Number	XXXXXXXXXXXX
FATCA Filing Requirement	No
IB Account ID	XXXXXXXXXX

Payer Information	
Name	Interactive Brokers LLC
Address	Two Pickwick Plaza Greenwich, CT 06830
Phone Number	1 (877) 442-2757
Website	<a href="http://www.interactivebrokers.com/help">http://www.interactivebrokers.com/help</a>
Federal ID Number	13-3863700

2020 1099-INT		Interest Income	OMB No. 1545-0112
Box	Description		Amount
1	Interest income		0.00
2	Early withdrawal penalty		0.00
3	Interest on U.S. savings bonds and Treasury obligations		0.00
4	Federal income tax withheld		0.00
5	Investment expenses		0.00
6	Foreign tax paid		0.00
7	Foreign country or U.S. possession		
8	Tax-exempt interest		0.00
9	Specified private activity bond interest		0.00
10	Market discount		0.00
11	Bond premium		0.00
12	Bond premium on Treasury obligations		0.00
13	Bond premium on tax-exempt bond		0.00

Amended: yyyy-MM-dd

2020 1099-DIV		Dividends and Distributions	OMB No. 1545-0110
Box	Description		Amount
1a	Total ordinary dividends		0.00
1b	Qualified dividends		0.00
2a	Total capital gain distributions		0.00
2b	Unrecap. Sec. 1250 gain		0.00
3	Non-dividend distributions		0.00
4	Federal income tax withheld		0.00
5	Section 199A Dividends		0.00
6	Investment expenses		0.00
7	Foreign tax paid		0.00
8	Foreign country or U.S. possession		
9	Cash liquidation distributions		0.00
10	Non-cash liquidation distributions		0.00
11	Exempt-interest dividends		0.00
12	Specified private activity bond interest dividends		0.00

Amended: yyyy-MM-dd

## IRS notices:

- CP 2000
- General

**Please send any questions to:**

**[webinars@interactivebrokers.com](mailto:webinars@interactivebrokers.com)**