



Appointment of Financial Advisor and Request to Send Trade Confirmations and Account Statements to Advisor

- » In connection with my Interactive Brokers account, I have appointed a registered or licensed Financial Advisor ("Advisor") to direct all trading and investment activity in my account. In this regard, I hereby request and authorize IB to deduct from my IB account Advisory Fees and to disburse those Fees directly to my Advisor, based on the fee arrangement that I have agreed upon with my Advisor ("Fees") and specified to IB. If my Advisor bills me directly for Fees, I will specify that to IB and IB will not deduct such fees from my account.
- » In addition, I hereby request that IB provide all trade confirmations and account statements directly to my advisor. I may also receive my statement at the IB website with an IB provided username and password.

I understand and acknowledge that:

- » I have granted to my Advisor the full discretion to direct the trading in my account on my behalf and I will not have access to IB's electronic systems for placing orders for my account. Only my Advisor will be able to use the IB Trader Workstation to conduct trading in my account.
- » If I terminate my relationship with my Advisor or for any other reason wish to terminate my Advisor's access to my account, or if I wish to direct the trading in my account myself, or if I wish to use Advisory Services of another Advisor, I will contact IB immediately by email to help@interactivebrokers.com or by calling the toll free Customer Service number listed on IB's website.
- » Because I have instructed IB to send all confirmations and account statements directly to my Advisor, I will rely on my Advisor for information regarding my account. If I have any questions or concerns regarding my account, I will contact IB Customer Service at help@interactivebrokers.com or by calling the toll free Customer Service number listed on IB's website.
- » Depending on the equity in my account, deduction of Advisory Fees in my IB margin account may cause the account to incur margin interest. Furthermore, if deduction of Advisory Fees would cause my account to have insufficient equity to cover my margin requirements or to satisfy any other obligation to IB, IB may, at its sole discretion: (1) deduct the Fees and exercise any of its rights and remedies under the IB Customer Agreement, including liquidating positions in my account without prior notice to me in order to satisfy margin requirements or obligations; and/or (2) decline to deduct the Fees, in which case I will be liable to pay such Fees to my Advisor directly.
- » My Advisor was selected solely by me and has not been recommended or endorsed by IB. My Advisor is not employed by or registered or associated with IB. The arrangements described in this document do not constitute a joint venture or partnership between my Advisor and IB, and my Advisor is not affiliated or connected with IB. IB is not responsible for the actions or recommendations of the Advisor that I have chosen. IB will not investigate or judge the competence or integrity of the Advisor or monitor the actions of the Advisor. IB will not review the Advisor's recommendations or judge the suitability of any trading or investment recommendation or decision of my Advisor or me. IB does not provide advisory services to IB customers and will not provide investment or trading or tax advice regarding my account.

- » All claims or disputes regarding the Fees I owe to my Advisor are solely between me and my Advisor. If I dispute my Advisor's right to receive any Fees that IB has deducted from my account and sent to my Advisor, I understand that my sole remedy is against my Advisor and I agree not to bring any claim against IB.
- » All claims, questions or disputes regarding IB's provision of brokerage services or regarding IB's execution of particular trades must be referred to IB. Advisor has no authority to decide or resolve such claims, questions or disputes on IB's behalf.
- » ALL CLAIMS OR DISPUTES REGARDING MY ADVISOR'S SERVICES OR ACTIONS (INCLUDING SPECIFIC CONDUCT, ADVICE, RECOMMENDATIONS, OR TRADES PLACED BY MY ADVISOR OR FEES OWED) ARE SOLELY BETWEEN ME AND MY ADVISOR. IB IS NOT LIABLE FOR ANY SUCH CLAIMS AND DISPUTES AND I UNDERSTAND AND FULLY ACKNOWLEDGE THAT I CANNOT BRING A CLAIM AGAINST IB OR RECEIVE DAMAGES OR COMPENSATION OF ANY KIND FROM IB, INCLUDING CLAIMS FOR DIRECT, INDIRECT, COMPENSATORY, INCIDENTAL, SPECIAL, EXEMPLARY, PUNITIVE OR CONSEQUENTIAL DAMAGES.
- » I WILL INDEMNIFY IB AND HOLD IB HARMLESS FOR ALL ACTIONS, OMISSIONS, COSTS, EXPENSES (INCLUDING ATTORNEYS' FEES), LOSSES, PENALTIES, CLAIMS OR LIABILITIES, WHICH IB INCURS IN CONNECTION WITH ANY CLAIMS OF ANY KIND BY ME AGAINST MY ADVISOR OR ANY CLAIMS BY MY ADVISOR AGAINST ME, INCLUDING CLAIMS REGARDING FEES OWED TO MY ADVISOR OR FEES COLLECTED OR DEDUCTED BY IB FROM MY ACCOUNT.