



Interactive Brokers (India) Private Limited

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NSDL SEBI Regn. No. IN-DP-NSDL-301-2008 DP ID – IN 303567

Member NSE: Member Code : 12880 (SEBI Registration No. INB 231288037 (21/02/2007), INF 231288037 (), INE 231288037 ()

Member BSE Clearing No : 3285 (SEBI Registration No. INB/F 011288033) Dt of Regn:21/07/2009

ACCOUNT OPENING KIT INDEX

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	1-2
		B. Document captures the additional information about the constituent relevant to trading account and an instruction/check list.	3-11
2	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) <i>(to be added by the stock broker)</i> .	A
3	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	B1-B4
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	C1-C3
5	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	D1
6	Policies and Procedures	Document describing significant policies and procedures of the stock broker <i>(to be added by the stock broker)</i> .	E1-E4
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Non-Mandatory Agreement	Document stating the additional terms and conditions between IBI and client for trading on exchanges.	G1-G6
8	Revocable Power of Attorney	Authorisation in favour of IBI, authorizing it to operate your demat account for settlement purposes.	H1-H2
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & DP			
9	Terms & Conditions	Terms & Conditions for providing the Transaction Statement through Internet	F1

For any grievance please contact Interactive Brokers (India) Pvt Ltd. at all the above address or email id help.in@interactivebrokers.com and Phone no :91-22-61289888. In case not satisfied with the response, please contact the concerned exchange(s) at ignse@nse.co.in and Phone (022)26598190/1800220058 (Toll free)